

Centre for Frontier AI Research



Introduction

- Many research works [1,2] argue that the geometry of a Deep Neural Network's (DNN's) loss landscape affects generalization and DNNs with flat minima can generalize better.
- Sharpness-Aware Training [3,4] helps DNNs to converge to a flat region by regularizing a sharpness measure. However, the calculation of the sharpness measure results in computational overhead being doubled (2X).

Contributions

- We propose a novel trajectory loss to measure the sharpness to be used for sharpness-aware training, which requires almost zero extra computational overhead. This is the the sharpness-aware training for free (or SAF) algorithm.
- We propose SAF and a memory-efficient variant MESA based on the trajectory loss to improve DNN's generalization ability.



Preliminaries

Sharpness-Aware Minimization [3]

• **Objective:** trains DNN by solving a minimax optimization problem.

$$\min_{\theta} \left[\max_{\epsilon: \|\epsilon\|_2 \le \rho} L_{\mathbb{S}}(f_{\theta+\epsilon}) - L_{\mathbb{S}}(f_{\theta}) \right] + L_{\mathbb{S}}(f_{\theta}) + \lambda \|\theta\|_2^2$$

Sharpness Measure

where $\mathbb{S} \triangleq \{(x_i, y_i)\}_{i=1}^n$ is drawn i.i.d. from a natural distribution \mathcal{D} f_{θ} : neural networks with weights θ ; L: loss function ϵ : weight perturbation; ρ , λ : given hyperparameters

The Sharpness Measure is defined as

$$R_{\mathbb{S}}(f_{\theta}) = \max_{\epsilon: \|\epsilon\|_{2} \le \rho} L_{\mathbb{S}}(f_{\theta+\epsilon}) - L_{\mathbb{S}}(f_{\theta}) = L_{\mathbb{S}}(f_{\theta+\hat{\epsilon}}) - L_{\mathbb{S}}(f_{\theta})$$

where $\hat{\epsilon} = \arg\max_{\epsilon: \|\epsilon\|_{2} < \rho} L_{\mathbb{S}}(f_{\theta+\epsilon}) \approx \rho \frac{\nabla_{\theta} L_{\mathbb{S}}(f_{\theta})}{\|\nabla_{\theta} L_{\mathbb{S}}(f_{\theta})\|}$

Objective: To find a "cheaper" replacement of the sharpness measure.

Full Paper is Available at:



Then

 $L^{\mathrm{tr}}_{\mathbb{B}}$

• Intuitively, SAF prevents the training from converging to a sharp local minimum by avoiding a sudden drop in the loss during training.

1. SAF needs to record/store the outputs of each instances, which incurs an out-of-memory issue on very large-scale datasets (ImageNet and larger). 2. The most recent iteration's sharpness estimated by SAF will **decay with** the learning rate of the base optimizer.

We adopt an exponential moving average (EMA) model to construct the trajectory loss, which is

Sharpness-Aware Training for Free NUS II Byte Dance Jiawei Du^{1,2}, Daquan Zhou³, Jiashi Feng³, Vincent Y. F. Tan^{4,2} Joey Zhou Tianyi^{1,2}

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Method: Leverage the trajectory of weights to estimate the sharpness **Objective:** Find a "cheaper" replacement of the sharpness measure. (SAF) In t^{th} iteration, a mini-batch $\mathbb{B}_t \subset \mathbb{S}$ is sampled for optimizing θ_t , We define $\gamma_i = \frac{\eta_i}{\rho^2} \cos(\Phi_i)$, $\cos \Phi_i = \frac{\nabla_{\theta_i} L_{\mathbb{B}_t}(f_{\theta_i})^\top \nabla_{\theta_i} L_{\mathbb{B}_i}(f_{\theta_i})}{\|\nabla_{\theta_i} L_{\mathbb{B}_t}(f_{\theta_i})\| \|\nabla_{\theta_i} L_{\mathbb{B}_i}(f_{\theta_i})\|}$ we have $\arg\min R_{\mathbb{B}_t}(f_{\theta_t}) = \arg\min \gamma_t R_{\mathbb{B}_t}(f_{\theta_t}) R_{\mathbb{B}_t}(f_{\theta_t})$ ESAM $= \arg\min[\gamma_t R_{\mathbb{B}_t}(f_{\theta_t}) R_{\mathbb{B}_t}(f_{\theta_t}) + \gamma_i R_{\mathbb{B}_t}(f_{\theta_i}) R_{\mathbb{B}_i}(f_{\theta_i})]$ $= \mathbb{E}_{\theta_i \sim \text{Unif}(\Theta)} [\gamma_i R_{\mathbb{B}_t}(f_{\theta_i}) R_{\mathbb{B}_i}(f_{\theta_i})]$ where $\Theta = \{\theta_2, \theta_3, \dots, \theta_{t-1}\}$ is the past trajectory of the weights $\mathbb{E}_{\substack{\theta_i \sim \text{Unif}(\Theta)}} [\gamma_i R_{\mathbb{B}_t}(f_{\theta_i}) R_{\mathbb{B}_i}(f_{\theta_i})]$ $\approx \mathop{\mathbb{E}}_{\theta_i \sim \text{Unif}(\Theta)} \left[\eta_i \cos(\Phi_i) \| \nabla_{\theta_i} L_{\mathbb{B}_t}(f_{\theta_i}) \| \| \nabla_{\theta_i} L_{\mathbb{B}_i}(f_{\theta_i}) \| \right]$ $= \mathop{\mathbb{E}}_{\theta_i \sim \text{Unif}(\Theta)} \left[\eta_i \nabla_{\theta_i} L_{\mathbb{B}_t}(f_{\theta_i})^\top \nabla_{\theta_i} L_{\mathbb{B}_i}(f_{\theta_i}) \right]$ $\approx \mathop{\mathbb{E}}_{\theta_i \sim \text{Unif}(\Theta)} \left[L_{\mathbb{B}_t}(f_{\theta_i}) - L_{\mathbb{B}_t}(f_{\theta_{i+1}}) \right]$ $= \frac{\mathbf{I}}{t-1} \left[L_{\mathbb{B}_t}(f_{\theta_1}) - L_{\mathbb{B}_t}(f_{\theta_t}) \right],$

Now, we have a good replacement of the sharpness measure without additional computations.

However, the loss difference $L_{\mathbb{B}_t}(f_{\theta_1}) - L_{\mathbb{B}_t}(f_{\theta_t})$, because it will cancel out with the vanilla loss $L_{\mathbb{B}_t}(f_{\theta_t})$. Hence, we use KL divergence Loss. We use a trajectory loss defined below to **replace the sharpness measure** by using a trajectory loss, thus out method is called sharpness-aware training for free (SAF).

$${}^{\mathbf{a}}(f_{\theta}, \mathbb{Y}^{(e-\tilde{E})}) = \frac{\lambda}{|\mathbb{B}|} \sum_{\substack{x_i \in \mathbb{B}, \hat{y}_i^{(e-\tilde{E})} \in \mathbb{Y}^{(e-\tilde{E})} \\ x_i \in \mathbb{B}, \hat{y}_i^{(e-\tilde{E})} \in \mathbb{Y}^{(e-\tilde{E})}}} \operatorname{KL}\left(\frac{1}{\tau} \hat{y}_i^{(e-\tilde{E})}, \frac{1}{\tau} f_{\theta}(x_i)\right)$$

where $\mathbb{Y}^{(e-E)} = \{\hat{y}_i^{(e-E)} = f_{\theta}^{(e-E)}(x_i) : x_i \in \mathbb{B}\} e$ is the current epoch, $\hat{y}_i^{(e-E)}$ is the output of the network (soft logits) of instance x_i in \tilde{E} epochs ago.

Objective: A memory-efficient version of SAF (MESA). **Motivation of MESA:**

$$L^{\text{tra}}_{\mathbb{B}}(f_{\theta}, f_{v_t}) = \frac{1}{|\mathbb{B}|} \sum_{x_i \in \mathbb{B}} \text{KL}\left(\frac{1}{\tau} f_{v_t}(x_i), \frac{1}{\tau} f_{\theta}(x_i)\right)$$

 v_t is the weights of EMA model, whose outputs are treated as the reference of the trajectory loss.

• MESA employs the EMA model to conduct one **forward only** inference (15% additional computations) to save memory.

Experiments:

ImageN

Vanilla (S SAM [GSAM² SAF (Ou MESA (C

ImageN

Vanilla SAM [LookSAM GSAM² SAF (Ou MESA (C

Vanilla (SGD)

Takeaways: (a) SAF and MESA preserve both SGD's training speed and SAM's performance. (b) SAF and MESA do not affect the convergence and decrease the sharpness measure of SAM. (c) SAF and MESA can find flatter minima as SAM does.

Reference:

1019-1028, PMLR, 2017 Conference on Learning Representations, 2020.

	ResNet-50		ResNet-101	
Net	Accuracy	images/s	Accuracy	images/s
SGD)	76.0	1,627 (100%)	77.8	1,042 (100%)
[8]	76.9	802 (49.3%)	78.6	518 (49.7%)
¹ [6]	77.1	1,037 (63.7%)	79.1	650 (62.4%)
² [36]	77.2	783 (48.1%)	78.9	503 (48.3%)
Ours)	77.8	1,612 (99.1%)	79.3	1,031 (99.0%)
Ours)	77.5	1,386 (85.2%)	79.1	888 (85.4%)
	ResNet-152		ViT-S/32	
Net	Accuracy	images/s	Accuracy	images/s
la ³	78.5	703 (100%)	68.1	5,154 (100%)
[8]	79.3	351 (49.9%)	68.9	2,566 (49.8%)
4 ⁴ [20]	-	-	68.8	4,273 (82.9%)
[36]	80.0	341 (48.5%)	73.8	2,469 (47.9%)
Ours)	79.9	694 (98.7%)	69.5	5,108 (99.1%)
Ours)	80.0	601 (85.5%)	69.6	4,391 (85.2%)

Table 1: Training speed and accuracy of SGD, SAM, SAM's variants, SAF, and MESA on the ImageNet datasets with ResNet-50, ResNet-101, ResNet-152 and ViT-S/32. Sharpness vs Epochs Training Loss vs Epochs





(a) Training loss vs Epochs of SAF. (b) The SAM's sharpness measure vs epochs Figure 3: (a) SAF and MESA do not affect the convergence of training. (b) SAF and MESA decrease the sharpness measure of SAM.



SAM

MESA (Ours)

Figure 4: Cross-entropy loss landscapes with respect to the Gaussian perturbation (0.07 of weights' norm).

SAF (Ours)

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