

Equivocations, Exponents and Second-Order Rates under Various Rényi Information Measures

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Joint work with Masahito Hayashi (Nagoya University and NUS)



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IMS Workshop on Mathematics of IT Cryptography

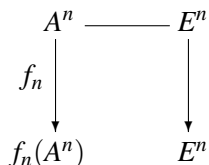
The Main Question We Ask

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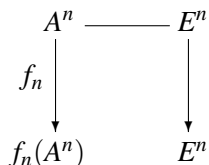
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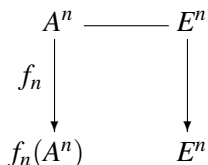
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- Fundamental limits of applying a **hash function** f_n to a source A^n
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- **Information-theoretic security**: After application of f_n , how **independent** is A^n from E^n and how **uniform** is it for a given rate

$$R = \frac{1}{n} \log ||f_n||$$

Measuring Independence and Uniformity

- We can measure **dependence** using the **mutual information**

$$I(A \wedge E) = D(P_{AE} \| P_A \times P_E).$$

We take $A \equiv f(A^n)$ and $E \equiv E^n$ later.

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- These are **Shannon information measures**

Rényi Information Measures

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$$D_{1+s}(P\|Q) := \frac{1}{s} \log \sum_a P(a)^{1+s} Q(a)^{-s}$$

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- Conditional Rényi entropy 1:

$$H_{1+s}(A|E|P_{AE}\|Q_E) := -D_{1+s}(P_{AE}\|I_A \times Q_E)$$
$$H_{1+s}(A|E) := H_{1+s}(A|E|P_{AE}\|P_E)$$

- **Conditional Rényi entropy 2** (Gallager form):

$$H_{1+s}^{\uparrow}(A|E) := -\frac{1+s}{s} \log \sum_e \left(\sum_a P_{AE}(a, e)^{1+s} \right)^{\frac{1}{1+s}}$$

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Related to the Gallager's [source coding with side-information exponent](#) function

$$\phi(s) := \log \sum_e \left(\sum_a P_{AE}(a, e)^{\frac{1}{1-s}} \right)^{1-s}$$

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- Easy to check that

$$\max_{Q_E \in \mathcal{P}(\mathcal{E})} H_{1+s}(A|E|P_{AE}||Q_E) = H_{1+s}^{\uparrow}(A|E).$$

Generalized Security Rényi Information Measures

- Security measure based on **Conditional Rényi entropy 1**:

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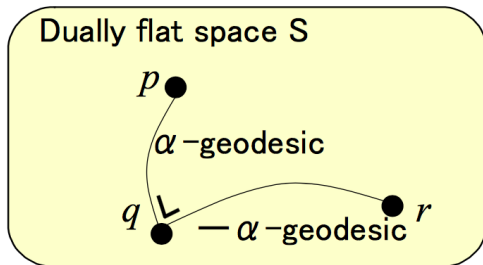
- When $s = 0$, these reduce to the information security measure based on relative entropy

$$C_1(A|E) = D(P_{AE} \| P_{\text{mix},\mathcal{A}} \times P_E) = \log |\mathcal{A}| - H(A|E)$$

Remarks on Generalized Security Criteria I

Can show that

$$C_{1+s}(A|E) = I_{1+s}^{(\text{Sibson})}(E \wedge A) + D_{1+s}(Q_A^{(s)} \| P_{\text{mix},A})$$



Generalized **Pythagorean theorem** in information geometry for Rényi entropy.
Fig. from S. Akaho.

Remarks on Generalized Security Criteria I

- We can also show that

$$C_{1+s}^\uparrow(A|E) = I_{1+s}^{(\text{Arimoto})}(A \wedge E) + D_{1+s}(P_A \| P_{\text{mix}, \mathcal{A}}).$$

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$$C_1(A|E) = I(A \wedge E) + D(P_A \| P_{\text{mix}, \mathcal{A}}).$$

- If $C_1(A|E)$ is small A is **approximately independent** of E and A is **close to uniform**.

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- Dodis and Yu (2013): **Overcoming weak expectations** where bounds provided are based on Rényi entropies
- **Error exponents** for information-theoretic security problems

Families of Hash Functions

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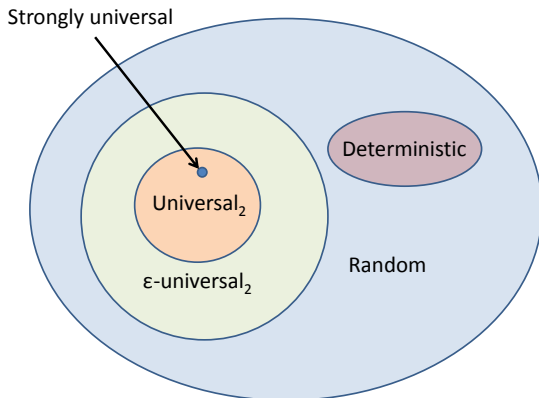
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Definition

A random hash function is called **strongly universal** when the random variables $\{f_X(a) : a \in \mathcal{A}\}$ are independent and

$$\Pr(f_X(a) = m) = M^{-1}, \quad \forall a \in \mathcal{A}, m \in \mathcal{M}.$$

Families of Hash Functions



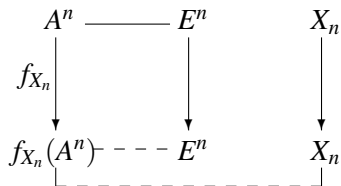
Hierarchy of hash functions.

Sequences of Hash Functions

Sequence of hash functions $\{f_{X_n} : \mathcal{A}^n \rightarrow [e^{nR}]\}_{n=1}^{\infty}$

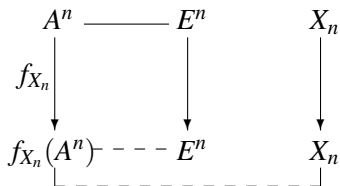
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$\{X_n\}$: Sequence of **common randomness** independent of (A^n, E^n)

Asymptotics of Equivocation I

Define the **averages** of the security indices (over X_n) as

$$C_{1+s} := C_{1+s}(f_{X_n}(A^n) | E^n, X_n)$$

$$C_{1+s}^\uparrow := C_{1+s}^\uparrow(f_{X_n}(A^n) | E^n, X_n)$$

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Theorem

Let $M_n = \|f_{X_n}\| = \lfloor e^{nR} \rfloor$. For any $s \in [0, 1]$, we have

$$\lim_{n \rightarrow \infty} \frac{1}{n} \inf_{f_{X_n}} C_{1+s} = |R - H_{1+s}(A|E)|^+,$$

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Infima are over **all random hash functions** and achieved by **any sequence of ϵ -almost universal₂ hash functions**.

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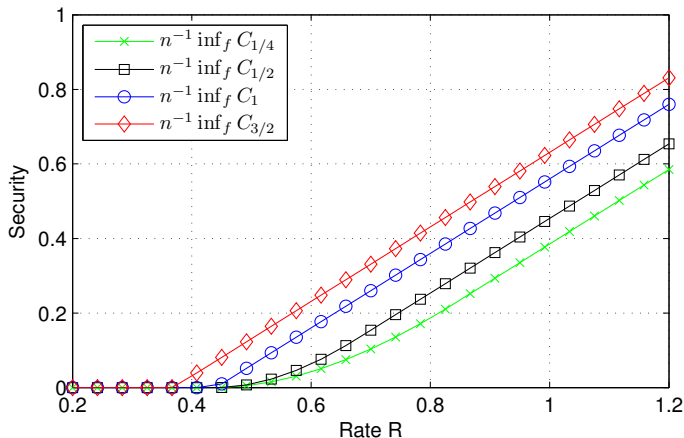
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- Similar behavior for C_{1-s}^\uparrow

Asymptotics of Equivocation : Illustration



Security measures $\frac{1}{n}C_{1+s}$ and $\frac{1}{n}C_{1-s}$ for source $\begin{bmatrix} 0.7 & 0.1 \\ 0.1 & 0.1 \end{bmatrix}$

Optimal Key Generation Rate

Corollary

We have

$$\sup \left\{ R : \lim_{n \rightarrow \infty} \inf_{f: \mathcal{A}^n \rightarrow [e^{nR}]} \frac{C_{1+s}}{n} = 0 \right\} = \begin{cases} H_{1+s}(A|E) & \text{if } s \in (0, 1] \\ H(A|E) & \text{if } s \in [-1, 0] \end{cases}$$

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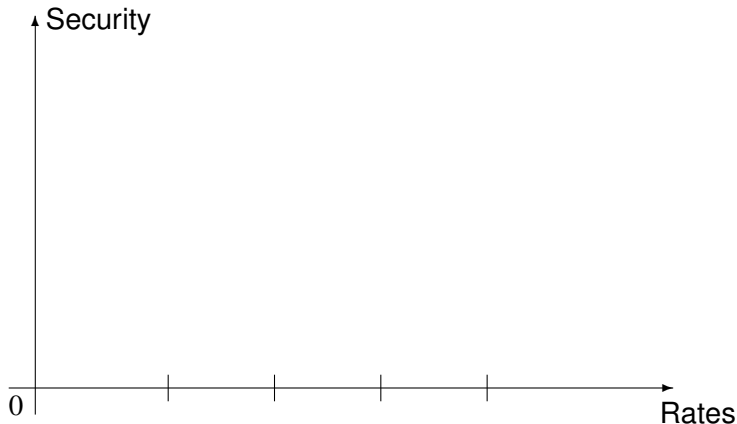
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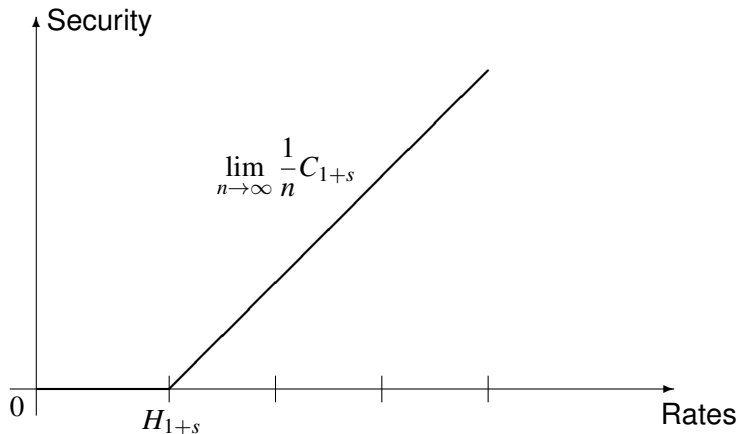
Summary of Behavior of Equivocations

Schematic showing the relation between the various entropies and the transition rate \hat{R}_{-s} .



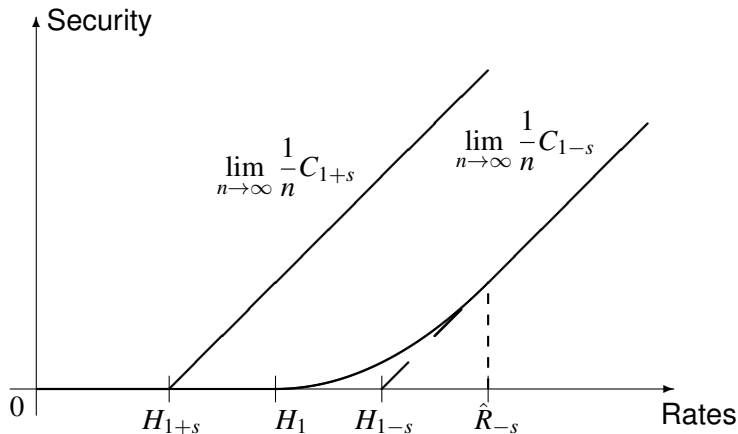
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- How fast do they tend to zero, i.e., we seek limiting behavior of the **exponent**

$$-\frac{1}{n} \log C_{1+s}(f_{X_n}(A^n) | E^n, X_n)$$

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Similar behavior for Gallager forms.

Illustration of Exponents

Illustration of the exponential decays of $C_{1/2}$, C_1 , $C_{3/2}$, and $C_{7/4}$. Also indicated are the **zero-crossings**.

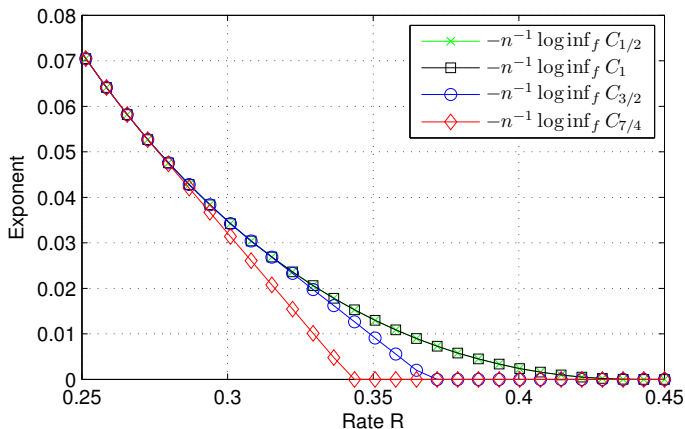


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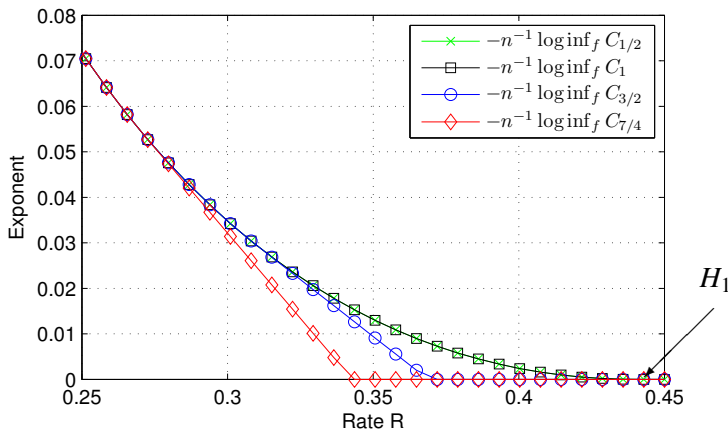


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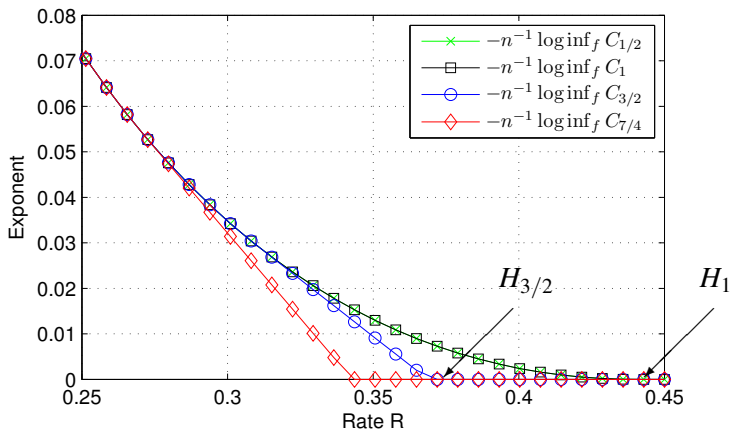
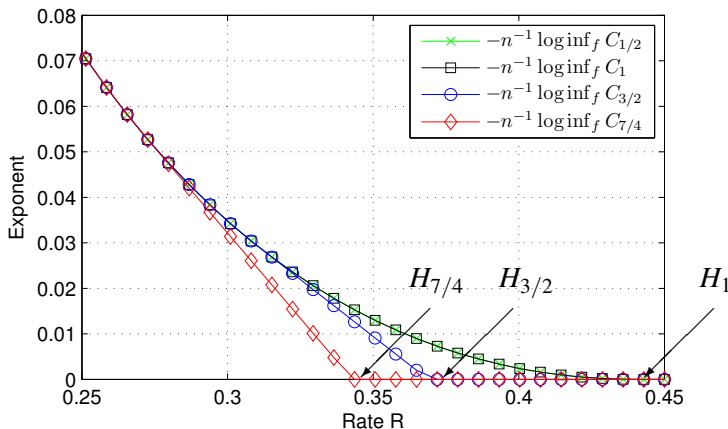


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- E.g., [Cramer's theorem](#) and various forms of the [Gärtner-Ellis theorems](#)
- Non-asymptotic bounds are improved versions of Bennett et al.'s (1999) bounds for the [leftover hash lemma](#) stated in terms of the Rényi entropy of order 2

Second-Order Asymptotics

Now we assume that the key size M_n satisfies

$$\log M_n = nH(A|E) + \sqrt{n}L$$

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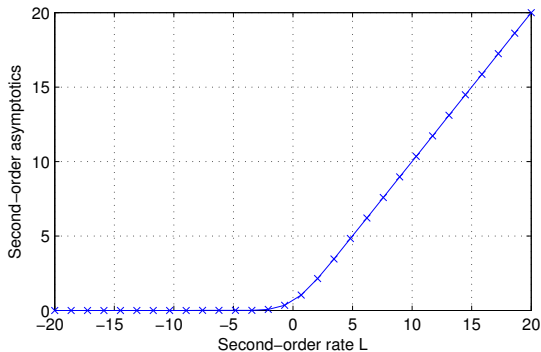
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Here, we make use of **central limit theorem** ideas.

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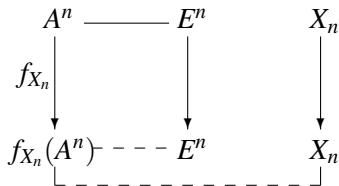


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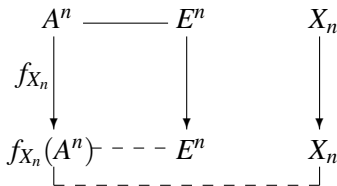
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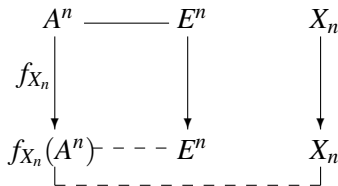
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- **Optimal key generation rate** has a surprising behavior which depends on the sign of s .
- For more results, consult the full version:

arxiv.org/abs/1504.02536 (IT Transactions revised)