

Exact Error and Erasure Exponents for the Asymmetric Broadcast Channel

Daming Cao ¹ Vincent Y. F. Tan ²

¹Southeast University

²National University of Singapore

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Decoding with Erasure in the Point-to-Point Channel

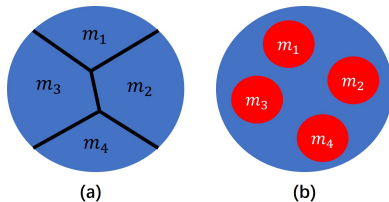


Figure: Representation of typical decision regions:(a) ordinary decoding, (b) erasure option

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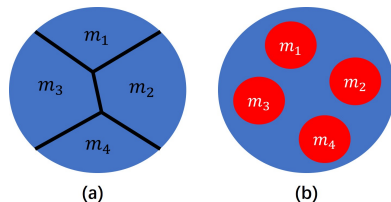


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- Total error and undetected error.

$$\Pr\{\mathcal{E}^t\} = \frac{1}{M} \sum_m \sum_{y^n \notin D(m)} \Pr\{y^n | x^n(m)\}$$

$$\Pr\{\mathcal{E}^u\} = \frac{1}{M} \sum_m \sum_{m' \neq m} \sum_{y^n \in D(m')} \Pr\{y^n | x^n(m)\}$$

Decoding with Erasure in the Point-to-Point Channel

- Using the Neyman-Pearson theorem, Forney¹ showed that the optimal trade-off between the average total and undetected error probabilities is attained by the decoding regions

$$y^n \in \mathcal{D}(m) \iff \ln \frac{\Pr\{y^n|x^n(m)\}}{\sum_{m' \neq m} \Pr\{y^n|x^n(m')\}} \geq nT$$

¹G. Forney, "Exponential error bounds for erasure, list, and decision feedback schemes," IEEE Trans. on Inform. Th., vol. 14, no. 2, pp. 206–220, Mar 1968.

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- Somekh-Baruch and Merhav² derived the *exact* random coding exponents for erasure decoding in the single-user DMC.

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Decoding with Erasure in the Asymmetric Broadcast Channel

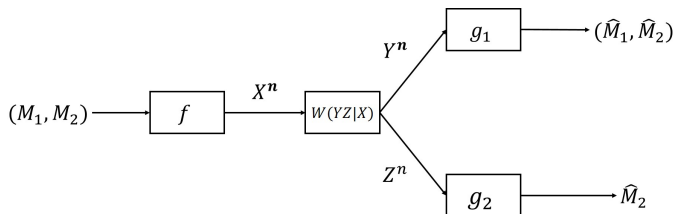


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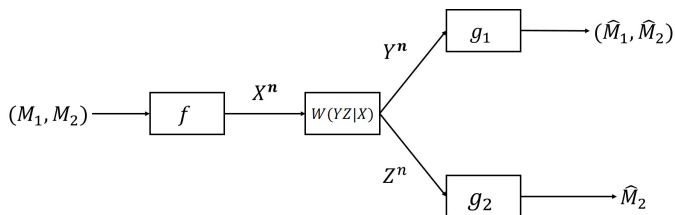


Figure: Asymmetric Broadcast Channel

- Decoding with erasure option.

$$g_1 : \mathcal{Y}^n \rightarrow (\mathcal{M}_1 \cup \{e_1\}) \times (\mathcal{M}_2 \cup \{e_2\})$$

$$g_2 : \mathcal{Z}^n \rightarrow \mathcal{M}_2 \cup \{e_2\}$$

The total error and undetected error

Define the **average total** and **undetected error probabilities** at terminal \mathcal{Y} as follows:

$$e_Y^t \triangleq \frac{1}{M_1 M_2} \sum_{(m_1, m_2) \in \mathcal{M}_1 \times \mathcal{M}_2} W_Y^n(\mathcal{D}_{m_1, m_2}^c \mid x^n(m_1, m_2))$$

$$e_Y^u \triangleq \frac{1}{M_1 M_2} \sum_{(m_1, m_2) \in \mathcal{M}_1 \times \mathcal{M}_2} W_Y^n\left(\bigcup_{(\hat{m}_1, \hat{m}_2) \neq (m_1, m_2)} \mathcal{D}_{\hat{m}_1 \hat{m}_2} \mid x^n(m_1, m_2)\right)$$

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Moreover,

$$e_j^t \triangleq \frac{1}{M_1 M_2} \sum_{(m_1, m_2) \in \mathcal{M}_1 \times \mathcal{M}_2} W_Y^n(\mathcal{D}_{m_j}^c \mid x^n(m_1, m_2))$$
$$e_j^u \triangleq \frac{1}{M_1 M_2} \sum_{(m_1, m_2) \in \mathcal{M}_1 \times \mathcal{M}_2} W_Y^n\left(\bigcup_{\hat{m}_j \in \mathcal{M}_j \setminus \{m_j\}} \mathcal{D}_{\hat{m}_j} \mid x^n(m_1, m_2)\right).$$

where $j = 1, 2$.

The optimal decoding regions

- $\mathcal{D}_{m_1 m_2}^* \triangleq \left\{ y^n : \ln \frac{W_y^n(y^n | x^n(m_1, m_2))}{\sum_{(m'_1, m'_2) \neq (m_1, m_2)} W_y^n(y^n | x^n(m'_1, m'_2))} \geq nT \right\}$

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- The average channel of a sub-codebook $\mathcal{C}_1(m_1) = \{x^n(m_1, m_2) : m_2 \in \mathcal{M}_2\}$:

$$\Pr(y^n | \mathcal{C}_1(m_1)) \triangleq \frac{1}{M_2} \sum_{m_2 \in \mathcal{M}_2} W_{\mathcal{Y}}^n(y^n | x^n(m_1, m_2))$$

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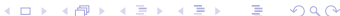
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- If T increases, the decoding regions become **small**. Moreover, the total error probabilities **increase** and the undetected error probabilities **decrease**.

Problem Formulation-Encoder

- Capacity region of the Asymmetric Broadcast Channel is well known ¹ and is achieved with superposition coding.

¹J. Korner and K. Marton, "General broadcast channels with degraded message sets," IEEE Trans. on Inform. Th., vol. 23, no. 1, pp. 60–64, Jan 1977. 

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Random coding with superposition structure

- Randomly generate $M_2 = e^{nR_2}$ “cloud centers” $\{U^n(m_2) : m_2 \in \mathcal{M}_2 = [M_2]\}$ according to the distribution

$$P(u^n) \triangleq \prod_{i=1}^n P_U(u_i).$$

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$$P(u^n) \triangleq \prod_{i=1}^n P_U(u_i).$$

- For each cloud center $U^n(m_2)$, randomly generate $M_1 = e^{nR_1}$ “satellite” codewords $\{X^n(m_1, m_2) : m_1 \in \mathcal{M}_1 = [M_1]\}$ according to the conditional distribution

$$P(x^n|u^n) := \prod_{i=1}^n P_{X|U}(x_i|u_i)$$

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The Exact Error and Erasure Exponents

We would like to find the *exact* error exponents E_j^t , E_j^u , E_Y^t and E_Y^u , $j = 1, 2$ as follow

$$E_1^t(R_1, R_2, T) \triangleq \limsup_{n \rightarrow \infty} \left[-\frac{1}{n} \ln \mathbb{E}_{\mathcal{C}}[e_1^t] \right],$$

where the expectation is taken with respect to the randomness of the codebook \mathcal{C} , and similarly for the other exponents E_1^u , E_Y^t , E_Y^u , E_2^t , and E_2^u .

Main Results-Theorem 1

The error exponents E_1^t , E_1^u , E_Y^t and E_Y^u are given by

$$E_1^t = E_Y^t = \min\{\Psi_a, \Psi_b\}, \quad \text{and} \quad E_1^u = E_Y^u = E_1^t + T$$

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where

$$\Psi_a \triangleq \min_{\hat{Q}_{UXY}} \left[D(\hat{Q}_{UXY} \| P_{UXY}) + \min_{Q_{UX|Y} \in \mathcal{L}_1(\hat{Q}_{UXY}, R_1, R_2, T)} \Phi(Q_{UX|Y} \hat{Q}_Y, R_1, R_2) \right]$$

$$\Psi_b \triangleq \min_{\hat{Q}_{UXY}} \left[D(\hat{Q}_{UXY} \| P_{UXY}) + \min_{Q_{X|UY} \in \mathcal{L}_2(\hat{Q}_{UXY}, R_1, T)} |\beta(Q_{X|UY} \hat{Q}_{UY}, R_1)|_+ \right]$$

and the sets \mathcal{L}_1 and \mathcal{L}_2 are defined as

$$\mathcal{L}_1(\hat{Q}_{UXY}, R_1, R_2, T) \triangleq \left\{ Q_{UX|Y} : \mathbb{E}_Q \ln \frac{1}{W_Y} + \mathbb{E}_{\hat{Q}} \ln W_Y - T \leq \Delta(Q, R_1, R_2) \right\}$$

$$\mathcal{L}_2(\hat{Q}_{UXY}, R_1, T) \triangleq \left\{ Q_{X|UY} : \mathbb{E}_Q \ln \frac{1}{W_Y} + \mathbb{E}_{\hat{Q}} \ln W_Y - T \leq |-\beta(Q, R_1)|_+ \right\}$$

where Q in \mathcal{L}_1 is equal to $Q = Q_{UX|Y} \hat{Q}_Y$ and Q in \mathcal{L}_2 is equal to $Q = Q_{X|UY} \hat{Q}_{UY}$,

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- The event that the user \mathcal{Y} decodes the **correct** private message m_1 but the **wrong** common message m_2 .
- There is **no loss** in optimality of using this joint decoding region $\mathcal{D}^*(m_1, m_2)$ for decoding only message m_1

Remarks for Theorem 1

The closed form expression of Ψ_b

$$\Psi_b \triangleq \min_{\hat{Q}_{UXY}} \left[D(\hat{Q}_{UXY} \| P_{UXY}) + \min_{Q_{X|UY} \in \mathcal{L}_2(\hat{Q}_{UXY}, R_1, T)} |\beta(Q_{X|UY}, \hat{Q}_{UY}, R_1)|_+ \right]$$
$$\beta(Q, R_1) \triangleq D(Q_{X|U} \| P_{X|U} | Q_U) + I_Q(X; Y|U) - R_1$$

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- If a genie gives the true common message m_2 to the user \mathcal{Y} , all codewords are conditioned on a particular $u^n(m_2)$ and are generated according to a conditional distribution $P_{X|U}$.

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- If a genie gives the true common message m_2 to the user \mathcal{Y} , all codewords are conditioned on a particular $u^n(m_2)$ and are generated according to a conditional distribution $P_{X|U}$.
- Compare to the single-user DMC case, when $T = 0$, Ψ_b can be viewed as the "conditional" random coding error exponent.

Main Results-Theorem 2

The error exponents E_2^t and E_2^u are given by

$$E_2^t = \max\{\Psi_a, \Psi_c\}, \quad \text{and} \quad E_2^u = E_2^t + T,$$

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where

$$\Psi_c \triangleq \min_{\hat{Q}_{UXY}} \left[D(\hat{Q}_{UXY} \| P_{UXY}) + \min_{Q_{UX|Y} \in \mathcal{L}_3(\hat{Q}_{UXY}, R_1, R_2, T)} \Phi(Q_{UX|Y}, \hat{Q}_Y, R_1, R_2) \right]$$

with

$$\mathcal{L}_3(\hat{Q}_{UXY}, R_1, R_2, T) \triangleq \left\{ Q_{UX|Y} : \mathbb{E}_Q \ln \frac{1}{W_Y} + s_0(\hat{Q}_{UXY}, R_1) - T \leq \Delta(Q, R_1, R_2) \right\}$$

and where Q in \mathcal{L}_3 is equal to $Q = Q_{UX|Y} \hat{Q}_Y$, and

$$s_0(\hat{Q}_{UXY}, R_1) \triangleq - \min_{\tilde{Q}_{X|UY} : \beta(\tilde{Q}, R_1) \leq 0} [\beta(\tilde{Q}, R_1) - \mathbb{E}_{\tilde{Q}} \ln W_Y]$$

and where \tilde{Q} in s_0 is equal to $\tilde{Q} = \tilde{Q}_{X|UY} \hat{Q}_{UY}$.

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 - 2 The user \mathcal{Y} decodes the entire sub-codebook for the common message m_2 , i.e., $\mathcal{C}_2(m_2) = \{X^n(m_1, m_2) : m_1 \in [M_1]\}$. And this strategy corresponds to the exponent Ψ_c .

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 - 2 The user \mathcal{Y} decodes the entire sub-codebook for the common message m_2 , i.e., $\mathcal{C}_2(m_2) = \{X^n(m_1, m_2) : m_1 \in [M_1]\}$. And this strategy corresponds to the exponent Ψ_c .
- Loosely speaking, when R_1 is large and the exponent Ψ_c achieves the maximum in E_2^t , the user \mathcal{Y} is more likely to decode the “cloud center” $U^n(m_2)$ according to the “test channel”

$$W_{Y|U}(y|u) \triangleq \sum_x W_Y(y|x)P_{X|U}(x|u)$$

rather than the average channel

$$\Pr(y^n | \mathcal{C}_2(m_2)) \triangleq \frac{1}{M_1} \sum_{m_1 \in \mathcal{M}_1} W_Y^n(y^n | X^n(m_1, m_2))$$

Numerical Evaluations

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- We consider binary symmetric channels (BSCs) as follow

$$Y = X \oplus Z_2 \quad X = U \oplus Z_1$$
$$U \sim \text{Bern}(0.5) \quad Z_1 \sim \text{Bern}(p_1) \quad Z_2 \sim \text{Bern}(p_2)$$

where $U, X, Y, Z_1, Z_2 \in \{0, 1\}$, $p_1 = 0.1$ and $p_2 = 0.2$.

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where $U, X, Y, Z_1, Z_2 \in \{0, 1\}$, $p_1 = 0.1$ and $p_2 = 0.2$.

- The set of achievable rates for M_1 and (M_1, M_2) .

$$\{R_1 \leq I(X; Y|U) = 0.07\} \cap \{R_1 + R_2 \leq I(X; Y) = 0.19\}.$$

- The set of achievable rates for M_2 .

$$\{R_2 \leq I(U; Y) = 0.12\} \cup \{R_1 + R_2 \leq I(X; Y) = 0.19\}.$$

Numerical Evaluations

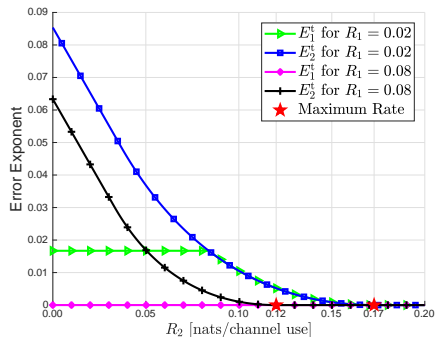
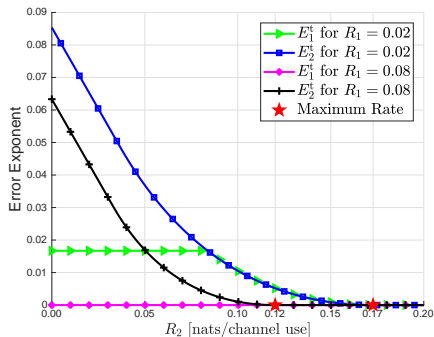


Figure: Total error exponents E_1^t and E_2^t as a function of R_2 for two different values of R_1 and where the threshold $T = 0$.

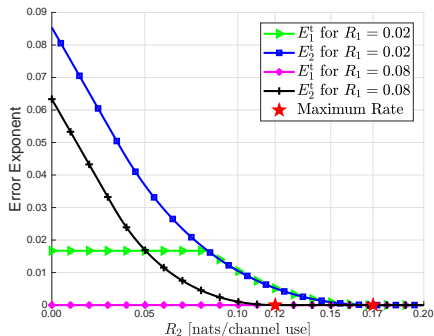
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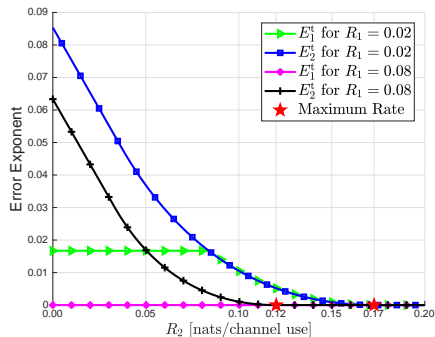
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- The exponents decrease when R_2 increases.
- When R_2 is below a critical value, the green line (E_1^t) is horizontal.
- When R_2 is small, the user \mathcal{Y} can easily decode the true common message m_2 .

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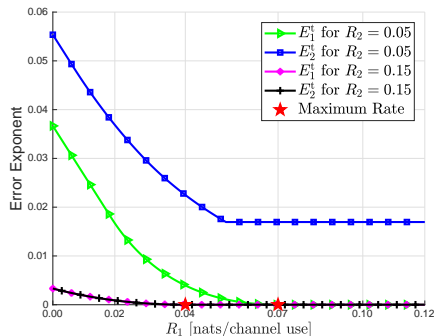


Figure: Total error exponents E_1^t and E_2^t as a function of R_1 for two different values of R_2 and where the threshold $T = 0$.

Numerical Evaluations

- The exponents decrease when R_2 increases.

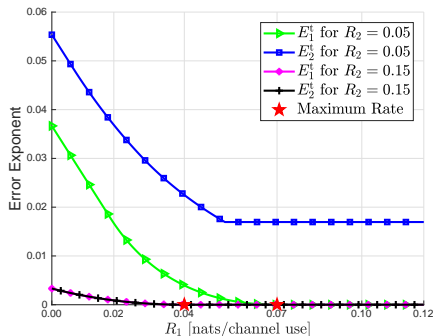
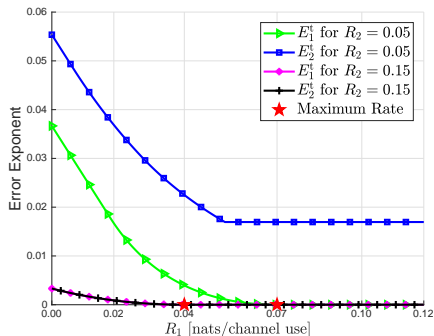


Figure: Total error exponents E_1^t and E_2^t as a function of R_1 for two different values of R_2 and where the threshold $T = 0$.

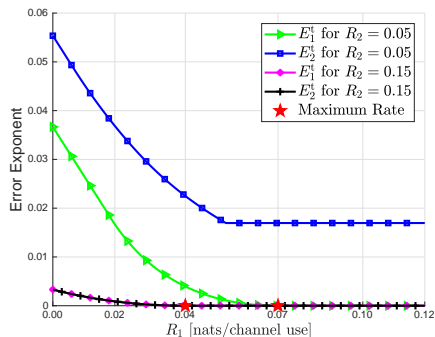
Numerical Evaluations



- The exponents decrease when R_2 increases.
- When R_1 is above a critical value, the blue line (E_2^t) is horizontal.

Figure: Total error exponents E_1^t and E_2^t as a function of R_1 for two different values of R_2 and where the threshold $T = 0$.

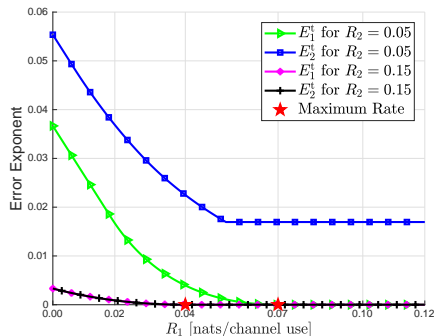
Numerical Evaluations



- The exponents decrease when R_2 increases.
- When R_1 is above a critical value, the blue line (E_2^t) is horizontal.
- When $R_2 \geq I(U; Y)$, the black line (E_2^t) decreases to zero.

Figure: Total error exponents E_1^t and E_2^t as a function of R_1 for two different values of R_2 and where the threshold $T = 0$.

Numerical Evaluations



- The exponents decrease when R_2 increases.
- When R_1 is above a critical value, the blue line (E_2^t) is horizontal.
- When $R_2 \geq I(U; Y)$, the black line (E_2^t) decreases to zero.
- When R_1 is large, the user \mathcal{Y} is more likely to directly decode the "cloud center" $u^n(m_2)$ according to the "test channel" $W_{\mathcal{Y}|U}$.

Figure: Total error exponents E_1^t and E_2^t as a function of R_1 for two different values of R_2 and where the threshold $T = 0$.

Numerical Evaluations

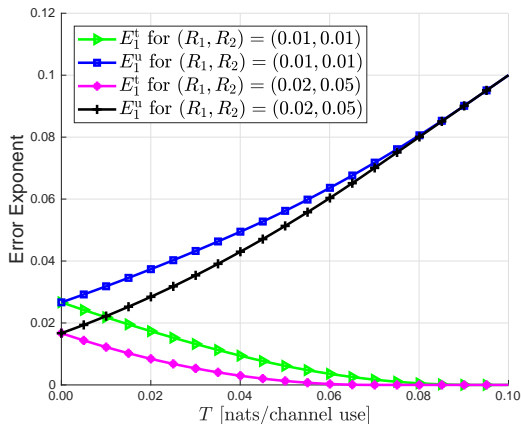


Figure: Total error exponent E_1^t and undetected error exponent E_1^u for message m_1 as a function of T for two different pairs of (R_1, R_2)

Thank You!

Full version: <https://arxiv.org/pdf/1801.05112.pdf>